

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David M. Fried, et al

Examiner: Cuong Quang Nguyen

Serial No.:

Art Group Unit: 2811

Filed: Herewith

Title: FINFET CMOS WITH NVRAM CAPABILITY

Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, 1.97 and 1.98, it is respectfully requested that the following references, which are also listed on the attached form PTO-1449, be made of record in the above-identified patent application.

1. U.S. Patent No. 6,040,218, issued 3/2000 to Lam
2. U.S. Patent No. 6,107,141, issued 8/2000 to Hsu et al.
3. U.S. Patent No. 6,108,239, issued 8/2000 to Sekariapuram et al.
4. U.S. Patent No. 6,114,725, issued 9/2000 to Furukawa et al.
5. U.S. Patent No. 6,143,636, issued 11/2000 to Forbes, et al.
6. U.S. Patent No. 6,133,098, issued 10/2000 to Ogura, et al.
7. U.S. Patent No. 6,174,771, issued 01/2001 to Leu
8. U.S. Patent No. 6,198,125, issued 03/2001 to Yamazaki, et al.
9. U.S. Patent No. 5,256,584, issued 10/1993 to Hartmann
10. U.S. Patent No. 5,455,792, issued 10/1995 to Yi
11. U.S. Patent No. 5,468,663, issued 11/1995 to Bertin, et al.
12. U.S. Patent No. 5,739,567, issued 04/1998 to Wong
13. U.S. Patent No. 5,041,886, issued 08/1991 to Lee
14. U.S. Patent No. 6,033,957, issued 03/2000 to Burns, Jr. et al.
15. U.S. Patent No. 6,157,061 issued 12/2000 to Kawata
16. Japanese Patent No. JP408162547A, issued 06/1996

Pursuant to 37 C.F.R. §1.98(d), copies of the references listed on the enclosed Form PTO-1449 are not provided since they were previously made of record in parent application Serial No. 10,063,095 filed February 19, 2002. The references were cited in the Information Disclosure Statement filed concurrently with the application on February 19, 2002 and on Form

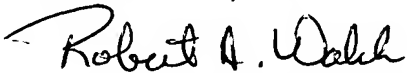
PTO-892 of the Office Action dated April 30, 2003.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 37 C.F.R. §1.56. This statement is not intended to represent that a search has been performed or that no other art than that identified herein exists.

The instant Information Disclosure Statement is being submitted concurrent with the filing of the present application. Therefore, this filing is made under 37 C.F.R. §1.97(b)(1). An Information Disclosure Statement filed under 37 C.F.R. §1.97(b)(1) required neither certification nor fee.

Respectfully submitted,

FOR: David M. Fried, et al

BY: 
Robert A. Walsh, Reg. No. 26,516

Dated: 9/30/2003

IBM Corporation
1000 River Street, 972E
Essex Junction, VT 05452
(802)769-9521